

# National Council for Behavioral Health

## **Prep for Success: Lessons Learned in Implementing Models for Early Intervention in Psychosis**

In partnership with the  
National Alliance on Mental Illness

Thursday, June 5, 2014  
2:00 – 3:30pm EST



# Webinar Logistics

- Select "Dialing-in to the Audio Conference Via Phone"
  - Dial **1-888-727-2247**
  - Enter the conference ID number **5433540#**
- Difficulty joining the call? Contact Adobe Tech Support at **1-800-459-5680**
- Issue during the call: Press **\*0** for immediate help.





# *Evidence-Based Treatment for First Episode Psychosis*

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*June 5, 2014*



National Institute  
of Mental Health

# Disclosures

- I have no personal financial relationships with commercial interests relevant to this presentation
- The views expressed are my own, and do not necessarily represent those of the NIH, NIMH, or the Federal Government





# RAISE

Recovery After an Initial  
Schizophrenia Episode

A Research Project of the NIMH

Home > Health & Education > Mental Health Information > Schizophrenia

## Coordinated Specialty Care for First Episode Psychosis - Resources

- ★ Evidence-based Treatments for First Episode Psychosis: Components of Coordinated Specialty Care
- RAISE Coordinated Specialty Care for First Episode Psychosis Manuals
- RAISE Early Treatment Program Manuals and Program Resources
- OnTrackNY* Manuals & Program Resources
- Voices of Recovery Video Series

<http://www.nimh.nih.gov/health/topics/schizophrenia/raise/coordinated-specialty-care-for-first-episode-psychosis-resources.shtml>

# Early Intervention Principles

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- Early detection of psychosis
- Rapid access to specialty care
- Recovery focus
- Youth friendly services
- Respectful of clients' autonomy/independence



# Early Intervention Services

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- Team-based, phase-specific treatment
- Assertive outreach and engagement
- Empirically-supported interventions
  - Low-dose antipsychotic medications
  - Cognitive and behavioral psychotherapy
  - Family education and support
  - Educational and vocational rehabilitation
- Shared decision-making framework

# Coordinated Specialty Care Model





# Coordinated Specialty Care Model



# Coordinated Specialty Care Model



# CSC Roles and Functions

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CSC Role	Services	Credentials
<b>Team Leadership</b>	Outreach to community providers, clients, and family members; coordinate services among team members; provide ongoing supervision	Licensed clinician; management skills
<b>Psychotherapy</b>	Individual and group psychotherapy (CBT and behavioral skills training)	Licensed clinician
<b>Care Management</b>	Care management functions provided in clinic and community settings	Licensed clinician
<b>Family Therapy</b>	Psychoeducation, relapse prevention counseling, and crisis intervention services	Licensed clinician
<b>Supported Employment and Education</b>	Supported employment and supported education; ongoing coaching and support following job or school placement	BA; IPS training and experience
<b>Pharmacotherapy and PC Coordination</b>	Medication management; coordination with primary medical care to address health issues	Licensed M.D., NP, or RN



# *Must I hire 6 new FEP specialists?*

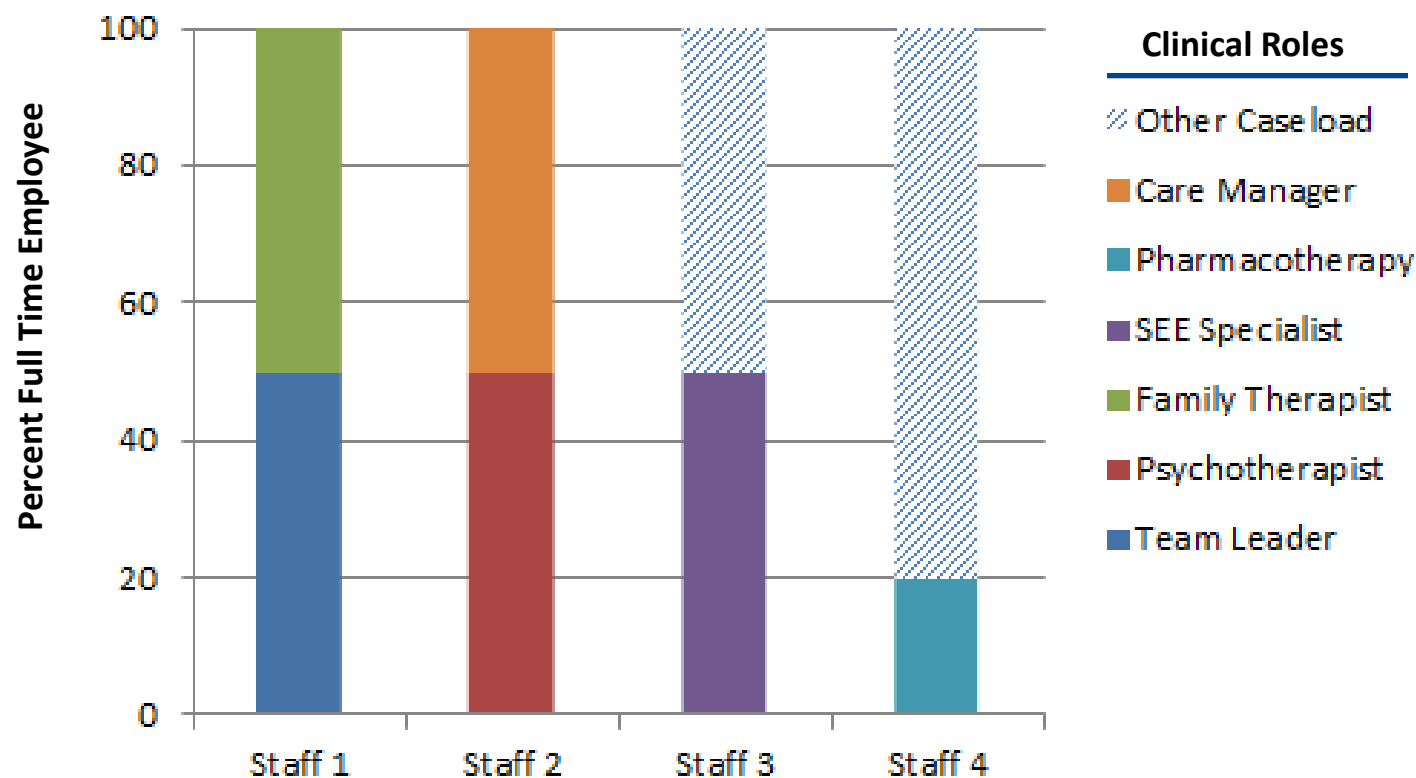
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- In the RAISE initiative, clinicians from multiple disciplines learned, mastered, and applied the principles of CSC
- Many providers achieved competency in more than one CSC function, and fulfilled dual roles on the treatment team
- Many sites leveraged existing resources to create cost efficiencies that supported the CSC program

# CSC Team Model 1

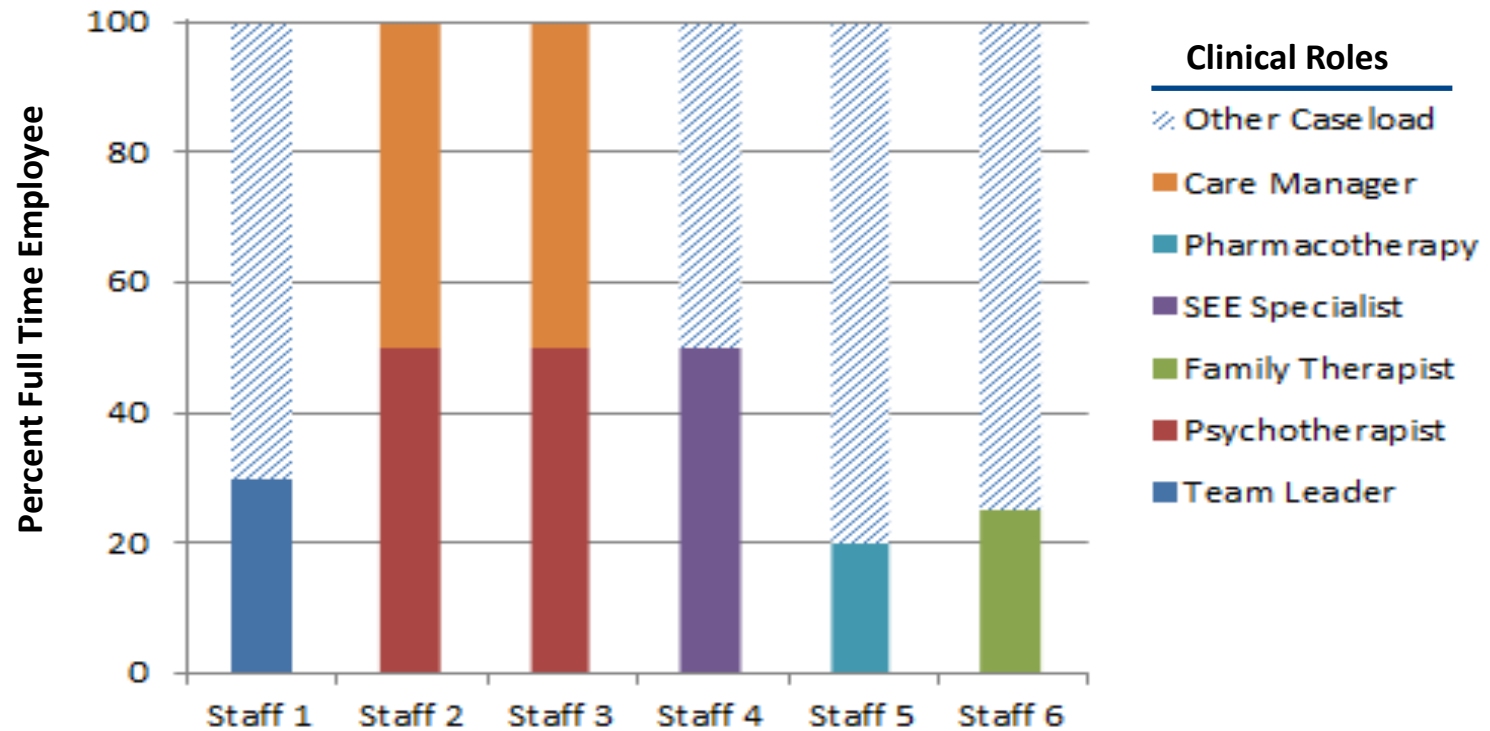
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*Suburban Mental Health Center; 20-25 Clients*



# CSC Team Model 2

*Urban Mental Health Center; 25-30 Clients*



# Estimating Costs of FEP Teams

## ■ Input Assumptions

- FEP incidence; number of people approached; proportion agreeing to services; expected months in treatment; team size; salaries

## ■ Outputs

- Population size to support one team; number of teams needed for catchment area; number of new “slots” per month; cost/client; cost/year

Humensky JL et al. (2013). *Psychiatric Services*, 64(9): 832-834.

# Revising the FY14 MHBG Plan

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Set-Aside Amount	Current CSC Capacity in the State or Territory		
	≥1 CSC Program	≥1 Developing Program	No CSC Programs
≥ \$1M			
> \$100K, < \$1M			
< \$100K			

- Depending on current capacity and set-aside amount:
  - Expand or augment existing CSC services
  - Fill gaps to create at least one operational program
  - Create infrastructure for a future CSC program



# *What if capacity and funds are low?*

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Set-Aside Amount	Current CSC Capacity in the State or Territory		
	≥1 CSC Program	≥1 Developing Program	No CSC Programs
< \$100K			

- Consider targeted investments to build core CSC capacities
  - Shared decision making tools and training
  - Supported employment specialists
  - Regional collaborations to build FEP expertise



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# For More Information

■ [www.nimh.nih.gov/RAISE](http://www.nimh.nih.gov/RAISE)

■ [rheinsse@mail.nih.gov](mailto:rheinsse@mail.nih.gov)



# THE PREP MODEL:

## HOW AND WHY IT WORKS



**BOB BENNETT**

PRESIDENT & CEO, THE FELTON INSTITUTE

[WWW.FELTON.ORG](http://WWW.FELTON.ORG)



The project described was supported by Funding Opportunity Number CMS-1C1-12-0001 from Centers for Medicare and Medicaid Services, Center for Medicare and Medicaid Innovation. Its contents are solely the responsibility of the authors and do not necessarily represent the official views of HHS or any of its agencies.



# WHAT IS PREP?

PREP is a community-academic partnership providing rigorous evidence-based treatment for schizophrenia to over 250 clients annually, in both English and Spanish.

## CORE PARTNERS

Felton Institute and the University of California, San Francisco

## SERVING CALIFORNIA

Now operating in five California counties; three sites have local funding; two have CMS funding; three are also funded by NIMH through UCSF.

Services are provided in English and Spanish.

## THE PREP MODEL

PREP is a model of how effective treatment can be migrated out of university research settings and taken to scale in the community.

# TWO COMPETING MYTHS

Schizophrenia is an untreatable illness. A person with schizophrenia is doomed to a life of continuing cognitive decline, frequent crises, and repeated hospitalizations. All we can provide is long-term palliative care.

People with schizophrenia would be fine if they would **JUST KEEP TAKING THEIR MEDICATION**. The only challenge is to find an effective means to compel them to adhere to their medication regimen.

# THE GOOD AND THE BAD NEWS

## THE GOOD

Schizophrenia *can* be effectively treated *and even prevented* with early diagnosis and a suite of evidence based treatments.

The field has made tremendous progress in the last 15 years, with even better treatments coming soon.

## THE BAD

Very few programs are offering these effective treatments.

It will be a tragedy if we unnecessarily lose a generation of children to an illness we know how to treat. We must transform our services to provide effective care.

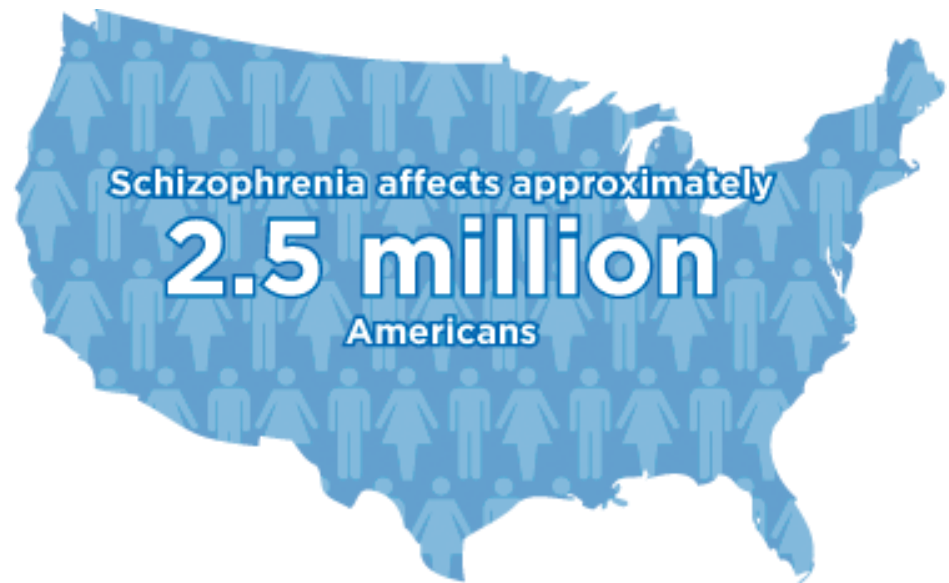


# THE NATURE OF SCHIZOPHRENIA

Schizophrenia begins in youth, lasts a lifetime, and results in ongoing cognitive decline, repeated psychiatric crises, and frequent hospitalization.

Individuals with schizophrenia die, on average, 24 years prematurely.

Schizophrenia is the 7th most expensive disease in the U.S. healthcare system. Over 70% of this cost is from hospitalization.



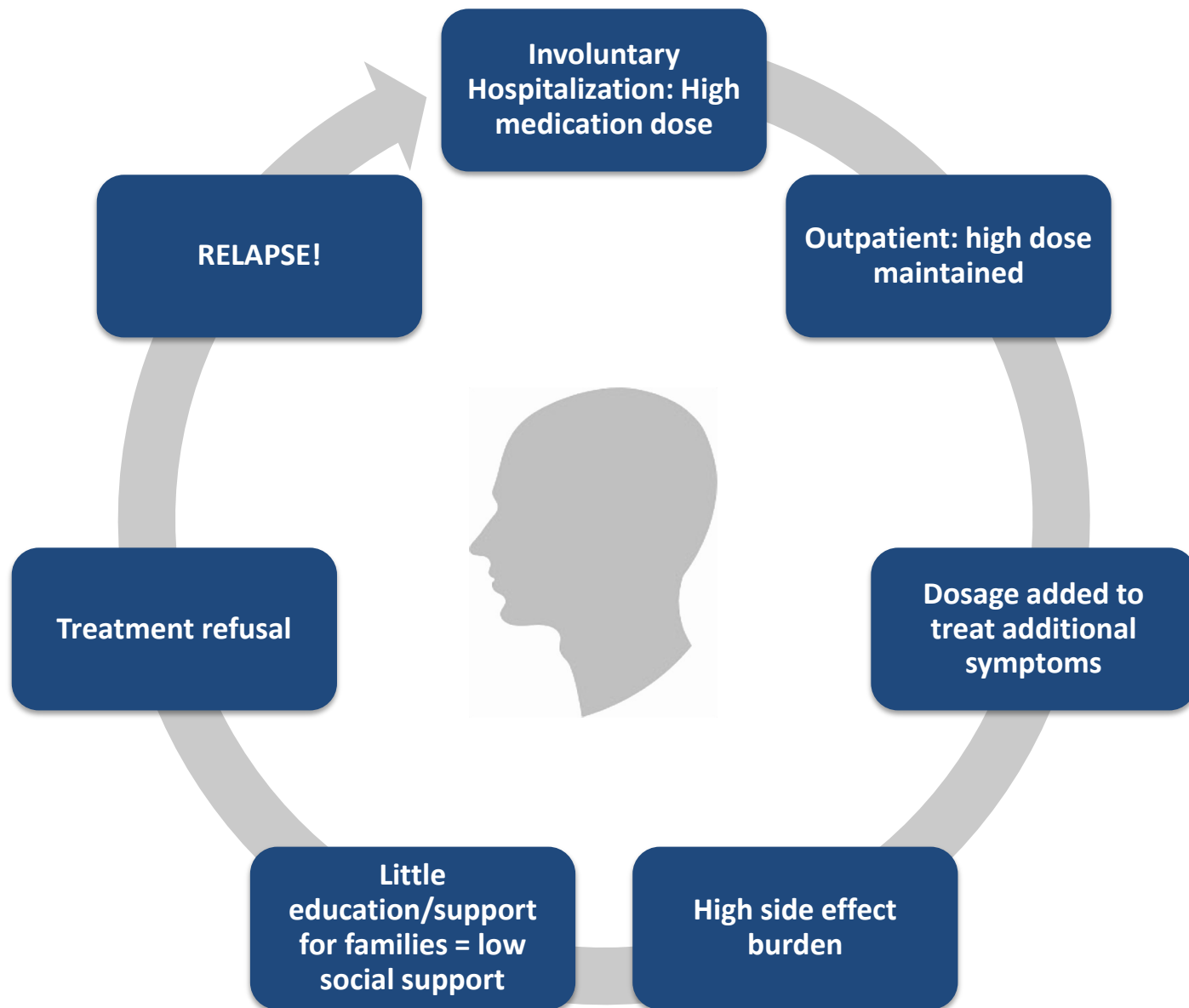
# THE CURRENT STATE OF CARE

The average person suffers from full-blown schizophrenia for almost three years before they are correctly diagnosed.

The PORT project found that 78% of people with schizophrenia do not even receive a minimally adequate medication regimen.

The CATIE study found that there was a median of only six months before discontinuation of treatment.

Nearly three-quarters of life-time medical costs are associated with repeated hospitalization, which in turn arises from treatment refusal.



# THE PREP VISION

## REMISSION

To stably remit schizophrenia in most individuals through a combination of early detection, rigorous diagnosis, and an array of science based treatments.

## REHABILITATION

To restore cognitive, social, and vocational functioning to normal levels.

## RECOVERY

To return individuals with schizophrenia to a normal, productive life.

## RESPECT

To approach treatment as a collaboration with clients to help them achieve their life goals.

# THE PREP MODEL

## SIX EVIDENCE BASED INTERVENTIONS

1. Rigorous early diagnosis using the SIPS and SCID
2. Algorithm-guided Medication Management
3. Cognitive Behavioral Therapy for Psychosis (CBTp)
4. Multifamily Psychoeducation Groups (PIER Model)
5. Individualized Placement and Support (Dartmouth Model)
6. Computer-based Cognitive Remediation (Vinogradov, et al.)

# TARGET POPULATION

## AGE

14 – 35 years

## DIAGNOSIS

- Schizophrenia spectrum disorder
- Recent onset:
  - First episode within the past two years, or
- Ultra high risk
  - Showing subclinical symptoms with high risk of full onset (prodromal)
- No exclusion for co-morbidities

## LANGUAGE

Services provided in English, Spanish, Mandarin, & Cantonese

# WHAT MAKES AN EVIDENCE BASED TREATMENT EFFECTIVE?

**Creating a standard of care**

**Comprehensive training**

**Fidelity coaching to clinical competence**

**Outcome accountability**

**Documentation standards**

# WHY IS COMMUNITY-BASED TREATMENT IMPORTANT?

## COMMUNITY TREATMENT

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- Operates at scale, aiming to serve all of target population
- Serves people with comorbidities
- Staffed with masters-level therapists and psychiatric nurse practitioners
- Local & Medicaid funding
- Ongoing

## UNIVERSITY RESEARCH

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- Serves small number of clients
- Excludes people with comorbidities
- Staffed with psychiatrists and post-docs
- Research/grant funding
- Ends with research completion



# DOES PREP WORK?



# RESULTS: CRISES REDUCED

Hospitalizations reduced by 71% compared to year prior to entering PREP.

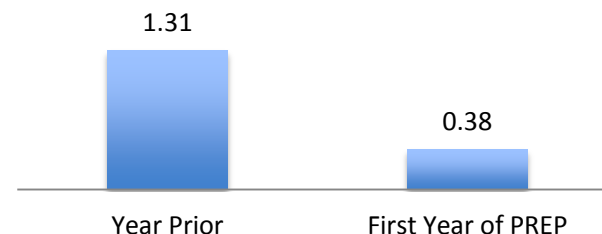
Hospitalization days reduced by 73% compared to year prior to PREP.

Emergency room visits reduced by 77%.

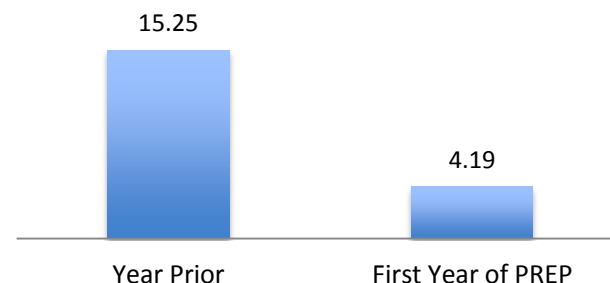
These reductions saved participating counties an estimated **\$15,450** per participant year!

These reductions saved clients and families an untold amount of trauma and suffering!

Change in Psych Hospitalization Means Before and After PREP

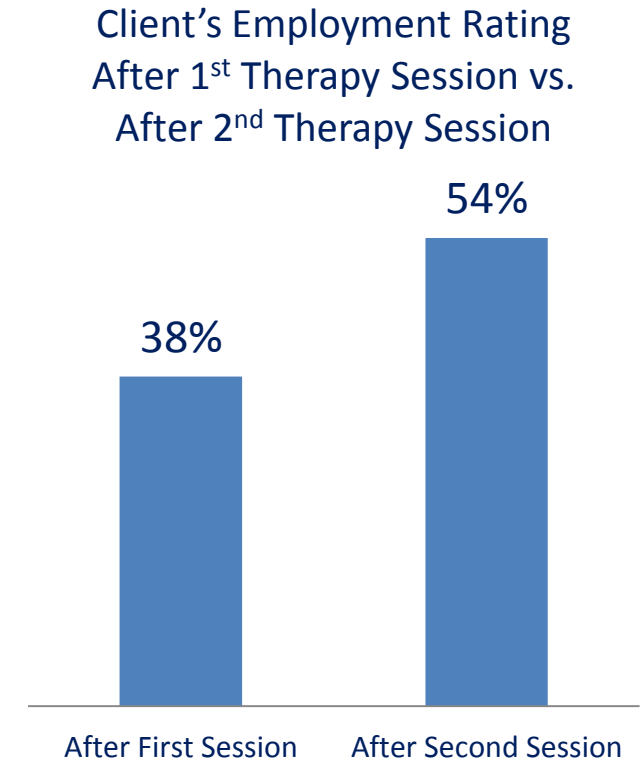


Change in Mean Psych Hospitalization Days Before and After PREP



# RESULTS: SOCIAL FUNCTIONING IMPROVED

- 38% of clients were enrolled in school or participating in competitive employment at their first assessment.
- 54% of clients were employed or in school by their second assessment.
- This change was statistically significant but not of the magnitude we are aiming for.
- We are working to improve both educational and labor force participation following the Dartmouth IPS model.



# RESULTS: SYMPTOMS IMPROVED

Clients had a statically significant reduction in positive symptoms (such as hallucinations, bizarre beliefs, unusual behavior).

Clients had a statistically significant reduction in negative symptoms (such as social isolation, lethargy, etc.).

Clients showed reductions in the desired direction in disorganized symptoms and depression, but not at a statistically significant level.

# WITH NET COST SAVINGS

Compared to client costs in the year prior to entering PREP, PREP saved an estimated \$7,972 per participant per year.

We are now beginning to study cost-savings and stability of results over longer periods.

	Year Prior	Participant-Year	Difference
Hospitalization	\$18,514	\$5,087	(\$13,427)
Emergency Services	\$2,862	\$830	(\$2,032)
Outpatient	Unknown	\$7,487	\$7,487
Total	\$21,376	\$13,404	(\$7,972)

# PREP TRAINING PACKAGE

## OBJECTIVE

Multidisciplinary Teams trained and ready to treat clients within three months.

## TRAINING AND IMPLEMENTATION

- Onsite needs assessment.
- Development of customized implementation plan with leadership and line staff.

# PREP TRAINING PACKAGE

## TRAINING: PHASE 1

- Diagnosis and Assessment
- Cognitive Behavioral Therapy for Psychosis
- Algorithm Guided Medication Management
- Computer-based Cognitive Remediation
- Community outreach and education



## COACHING CIRCLES (ONE YEAR)

## CLINICAL SUPERVISION (ONE YEAR)

## CERTIFICATION OF FIDELITY



# THANK YOU

**For more information on PREP visit:**

[www.PREPWELLNESS.org](http://www.PREPWELLNESS.org)

Or contact Kelly Saturno  
The Felton Institute  
KSaturno@Felton.org  
(415)474-7310 x431





# National Alliance on Mental Illness



**National Alliance on Mental Illness**

**Darcy Gruttadaro, J.D.,** Director of the  
Child & Adolescent Action Center

**Ken Duckworth, M.D.,** Medical Director





**Darcy Gruttadaro, J.D.**

Director, Child and  
Adolescent Action Center,  
NAMI

@NAMICommunicate



# Who is NAMI?

NAMI is the National Alliance on Mental Illness, the nation's largest grassroots mental health organization dedicated to building better lives for the millions of Americans affected by mental illness.



- 50 states & DC
- 1,000 affiliates
- 100,000+ members



# What does NAMI do?

NAMI advocates for access to services, treatment, supports and research and is steadfast in its commitment to raise awareness and build a community of hope for all of those in need.



# What is the Role of Family Advocacy Organizations in Early Intervention?

- Funding
- Family support and education
- Information and resources
- Outreach to schools and communities
- Building and investing in workforce capacity



# Funding

Advocate for coverage of coordinated array of early intervention services and bundled rates.





# Family Support & Education

- NAMI educates families about:
  - Mental illness
  - Treatment options and more
- NAMI provides support
- FEP sites partner with local NAMIs
  - e.g. NAMI Minnesota



# Information & Resources



- NAMI translates research and clinical information to be meaningful and well-understood by families and individuals.
- NAMI is a trusted resource.





# Outreach to Schools & Communities



- NAMI programs in schools
  - NAMI Parents & Teachers as Allies
  - NAMI Ending the Silence
  - NAMI On Campus
- NAMI grassroots have relationships with schools and community organizations.



# Building & Investing in Workforce Capacity

Advocate for investment in training and workforce capacity in delivering a coordinated array of services and supports for FEP and effective early intervention.





**Ken Duckworth, M.D.**

Medical Director, NAMI

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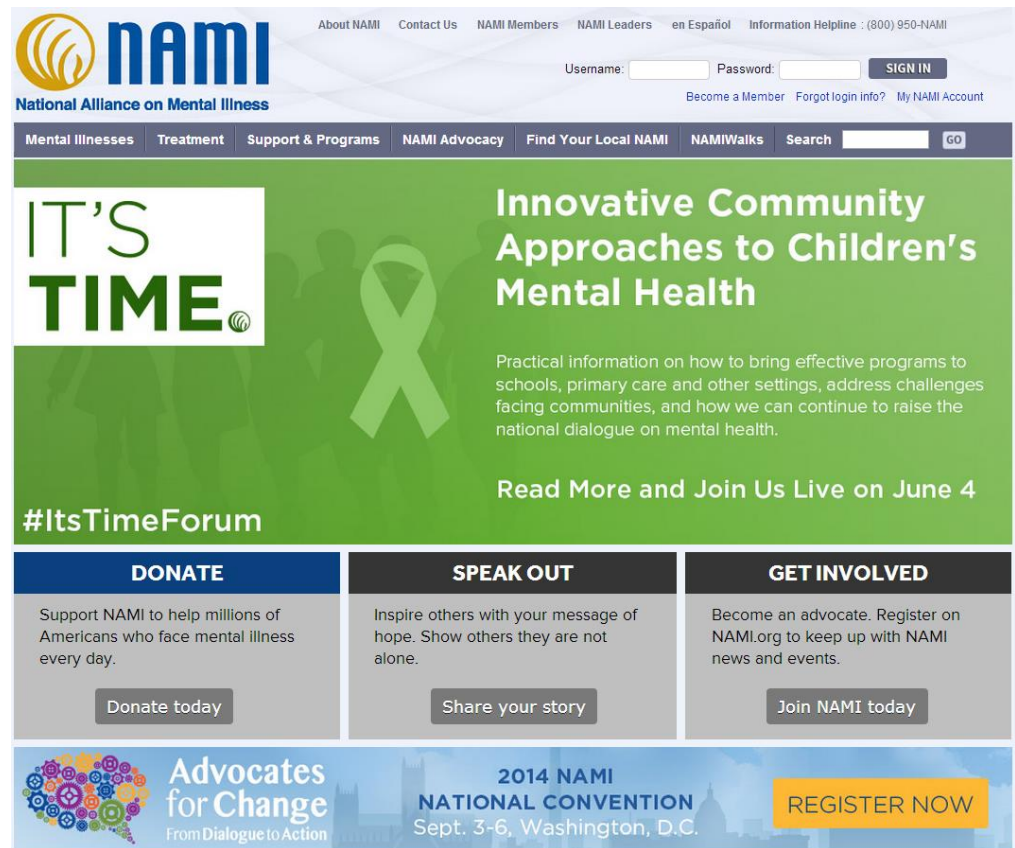
# From the frontlines...

A provider's perspective on providing early intervention and first episode of psychosis services in the community.



# How to partner with YOUR local NAMI

- [www.nami.org/local](http://www.nami.org/local)
- NAMI helpline  
(800) 950-6264



The screenshot shows the NAMI website homepage. At the top is the NAMI logo and navigation links: About NAMI, Contact Us, NAMI Members, NAMI Leaders, en Español, and Information Helpline: (800) 950-NAMI. Below the logo is a search bar with fields for Username and Password, and a SIGN IN button. A secondary navigation bar includes links for Mental Illnesses, Treatment, Support & Programs, NAMI Advocacy, Find Your Local NAMI, NAMI Walks, and a Search bar. The main content area features a green banner with the text "IT'S TIME" and "Innovative Community Approaches to Children's Mental Health". Below this is a green ribbon graphic and the text "#ItsTimeForum". A section titled "DONATE" encourages supporting NAMI to help millions of Americans who face mental illness every day, with a "Donate today" button. A section titled "SPEAK OUT" encourages inspiring others with your message of hope, with a "Share your story" button. A section titled "GET INVOLVED" encourages becoming an advocate, with a "Join NAMI today" button. At the bottom, there is a banner for the "2014 NAMI NATIONAL CONVENTION" from Sept. 3-6, Washington, D.C., with a "REGISTER NOW" button.



# Q & A





# Stay Tuned!

Stay tuned for the National Council's other upcoming early intervention webinars:

- **“Funding Strategies for Early Psychosis Intervention Models”**
- **“Community Outreach and Prevention as an Element of Early Intervention in Psychosis”**

To be notified about these webinars, please email Adam Swanson at [AdamS@TheNationalCouncil.org](mailto:AdamS@TheNationalCouncil.org).

